

Serial No:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: RD-26,121/USA

In re application of:
Serial No.:

GERALD B. KLIMAN, ET AL

Filed:

Group Art Unit:
For:

SLIP BRUSH ASSEMBLY AND METHOD

INFORMATION DISCLOSURE STATEMENT - CONTINUING APPLICATION

Honorable Assistant Commissioner of Patents
Washington, DC 20231

SIR:

This Information Disclosure Statement is being filed under 37 C.F.R. §1.56 for a continuing application, which relies on the filing date of its parent application, such parent application being identified as:

U.S. Patent Application Serial No: 09/342,571

Filed: 6/29/99

Examiner: T. NGUYEN

Inventor(s): GERALD B. KLIMAN, ET AL.

Group Art Unit: 2834

Title: SLIP BRUSH ASSEMBLY AND METHOD

Enclosed are copies of Forms PTO-1449 listing all "prior art" cited and PTO-892 Notice of References Cited and submitted in the parent application. Pursuant to 37 C.F.R. §1.98(d), no actual copies of the documents listed are being furnished to the PTO with this Information Disclosure Statement

Respectfully submitted,

Ann M. Agosti

ANN M. AGOSTI
PATENT COUNSEL
REGISTRATION NO: 37,372
TELEPHONE: (518) 387-7713

DATE: 25 May 2001

General Electric Company
CRD Patent Docket Room 4A59
PO Box 8, Bldg. K-1 Salamone
Schenectady, New York 12301
CUSTOMER NUMBER: 006147

jc979 U.S. PTO
09/870567



INFORMATION DISCLOSURE STATEMENT BY APPLICANT--
LIST OF ITEMS

(Use several sheets if necessary)

Applicant

Gerald I. [redacted] et al.

#2

Filing Date

Group

U.S. P.

09/342571



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TNN	AA 5 4 9 0 1 9 8	02/06/96	Gerling	378	131	04/17/95
TNN	AB 5 7 4 2 5 1 5	04/21/98	Runkle et al.	364	494	04/21/95
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	No
TNN	AL 1 1 5 7 8 8 5	07/09/69	England		X		
	AM						
	AN						
	AO						
	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

TNN	AR	Westinghouse Electric & Manufacturing Co., "Circular No. 1028", April 1903
	AS	A. M. Dudley, "Connecting Induction Motors", 1921, Page 204, 01/1921
TNN	AT	M. E. Hayes, "Current Collecting Brushes In Electrical Machines", 1947, Pages 124-127, 01/1947

EXAMINER

NGUYEN, TRAN N

DATE CONSIDERED

11/3/00

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ATTY. DOCKET NO.
RD-26,1

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--
LIST OF ITEMS

(Use several sheets if necessary)

Applicant

Gerald Burt Kliman et al.

Filing Date

Group

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA					
	BB					
	BC					
	BD					
	BE					
	BF					
	BG					
	BH					
	BI					
	BJ					
	BK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES No
	BL					
	BM					
	BN					
	BO					
	BP					

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

BR	Ralph R. Lawrence, "Principles of Alternating-Current Machinery", 1953, Page 161
BS	Michael Liwschitz-Garik and Clyde C. Whipple, "Alternating Current Machines", April 1961 (1st Edition September 1946), Pages 119, 261
BT	Brown Boveri Review, "The Rotary Transformer", September 1967, Pages 554-564

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

SERIAL NO.

09/342571

GROUP ART
UNIT

2834

ATTACHMENT
TO PAPER NO.

NOTICE OF REFERENCES CITED

APPLICANT(S)

Kliman et al

U.S. PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
*	A	5,549,019	8/1996	Cattani	74	573R
*	B	5,976,047	11/1999	Woytaszek	310	112
*	C	5,285,125	2/1994	Lang	310	240
✓	D	6,012,563	1/2000	Aoki et al	192	84.951
	E					
	F					
	G					
	H					
	I					
	J					
	K					

FOREIGN PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS
	L						
	M						
	N						
	O						
	P						
	Q						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

R	
S	
T	
U	

EXAMINER

Nguyen, Tran

DATE

March 8, 2001

* A copy of this reference is not being furnished with this office action.
(See Manual of Patent Examining Procedure, section 707.05(a).)

Form 892ccs2106b



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

SERIAL NO.

09/342571

GROUP ART
UNIT

2834

ATTACHMENT
TO PAPER NO.

7

NOTICE OF REFERENCES CITED

APPLICANT(S)

Kliman et al

U.S. PATENT DOCUMENTS

* []	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
A	5,549,019	8/1996	Cattani	74	573R	
B	5,976,047	11/1999	Woytaszek	310	112	
C	5,285,125	2/1994	Lang	310	240	
D						
E						
F						
G						
H						
I						
J						
K						

Jd979 U.S. PTO
09/870567
06/01/01

FOREIGN PATENT DOCUMENTS

* []	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS
L						
M						
N						
O						
P						
Q						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

R	
S	
T	
U	

EXAMINER

Nguyen, Tran

DATE

November 3, 2000

* A copy of this reference is not being furnished with this office action.
(See Manual of Patent Examining Procedure, section 707.05(a).)

Form892ccs2106b